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"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of A. Gordon Alexander, et al. U. S. Serial No. 10/678,469 Filed: October 3, 2003 FUNCTIONAL FLUIDS HAVING LOW BROOKLINE VISCOSITY USING HIGH VISCOSITY-INDEX BASE STOCKS, BASE OILS AND LUBRICANT COMPOSITIONS, AND METHODS FOR THEIR PRODUCTION AND USE	)	Before the Examiner Not Assigned Confirmation Number: 3345 Group Art Unit: 1764 Family Number: P2002J110 US2

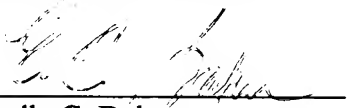
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

An Information Disclosure Statement is attached listing references cited in the counterpart foreign patent application. The references listed are those that were not previously cited in an Information Disclosure Statement. This Statement is filed within three (3) months of the receipt of the communication from the foreign patent office in the counterpart foreign patent application. Therefore, under 37 CFR 1.97(c), no fee is due.

Respectfully submitted,



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ECB:sbf
November 2, 2004

I hereby certify that I have a reasonable basis for believing that this correspondence will be deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on November 3, 2004.
Date of Deposit

Susan Fleming		November 3, 2004
Name of attorney or agent	Signature	Date of Signature

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INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant(s)	A. Gordon Alexander, et al.
U. S. Serial Number	10/678,469
Filing Date	October 3, 2003
Confirmation Number	3345
Group Art Unit	1764
Attorney Docket Number	NLF-0321
Family Number	P2002J110 US2

U. S. PATENT DOCUMENTS

Examiner Initial *		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AI						

FOREIGN PATENT DOCUMENTS

		Document Number	Publication Date	Country	Class	Sub-Class	Translation Yes No
	AJ	WO 99/41357	19 Aug 99	PCT	C10G	71/00	
	AK	WO 00/14183	16 Mar 00	PCT	C10G	45/58	
	AL	WO 00/14187	16 Mar 00	PCT	C10M		
	AM						

OTHER DISCLOSURES (Include Author, Title, Date, Pertinent Pages, Place of Publication, etc.)

	AN	
	AO	

Examiner	Date Considered

* Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and NOT considered. Include copy of this form with next communication to applicant(s).

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AMENDMENT TRANSMITTAL FORM

In re application of: A. Gordon Alexander, et al.	)	Before the Examiner
U. S. Serial No.: 10/678,469 [810035]	)	Not Assigned
Filed: October 3, 2003	)	
For: FUNCTIONAL FLUIDS HAVING LOW BROOKFIELD VISCOSITY USING HIGH VISCOSITY-INDEX BASE STOCKS, BASE OILS AND LUBRICANT COMPOSITIONS, AND MEHTODS FOR THEIR PRODUCTION AND USE	)	Confirmation Number: 3345
	)	Group Art Unit: 1764
	)	Family Number: P2002J110 US2
	)	

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

[X] The undersigned hereby certifies having information and a reasonable basis for belief that this correspondence will be deposited as first-class mail with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on November 3, 2004.

Transmittal herewith is an amendment/response in the above-identified application.

Petition for extension of time pursuant to 37 CFR 1.136 and 1.137 is hereby made, if and to the extent, required. The fee for this extension of time is calculated to be $_____ to extend the time for filing this response until _____.

The fee for any changes in number of claims has been calculated as shown below.

CLAIMS AS AMENDED						
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid For	(5) Present Extra	(6) Rate	(7)
Total Claims	*	Minus	**		x 18.00	
Indep. Claims	*	Minus	***		x 88.00	
MULTIPLE DEPENDENT CLAIM FEE					$300.00	
					FEE FOR CLAIM CHANGES	

* If the entry in Column 2 is less than the entry in Column 4, write "0" in Column 5.
** If the "Higher Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.
*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

The total fee for this INFORMATION DISCLOSURE STATEMENT, including claim changes and any extension of time is calculated to be $ 0 .

[X] Charge $ 0 to DEPOSIT ACCOUNT NO. 05-1330.

[X] **The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 and 1.17 which may be required by this paper, or credit any overpayment, to DEPOSIT ACCOUNT NO. 05-1330. A duplicate copy o this Form is enclosed.**

11/3/04
DATE OF SIGNATURE

Estelle C. Bakun
ATTORNEY OR AGENT OF RECORD

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[X] Pursuant to 37 CFR 1.34(a)

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